

## FORM PTO-1449

Atty. Docket No.:  
1001.1451103Serial No.:  
09/854,812

Applicant: Kevin W. Smith et al.

Filing Date

Group Art:

May 14, 2001

Unknown

LIST OF PATENTS AND PUBLICATIONS FOR  
APPLICANT'S INFORMATION  
DISCLOSURE STATEMENT

## U.S. PATENT DOCUMENTS

Examiner Initial	Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
AA	Des. 301,614	06/13/99	Kozak et al.	D24	30	
AB	RE 34,110	10/27/92	Opie, deceased, et al.	128	6	
AC	1,127,948	02/09/15	Wappler			
AD	2,036,528	04/07/36	Kesling	64	2	
AE	2,950,609	08/30/60	Goodloe	64	15	
AF	3,554,192	01/12/71	Isberner	606	80	
AG	3,895,636	07/22/75	Schmidt	128	305	
AH	3,955,578	05/11/76	Chamness et al.	128	303.15	
AI	4,256,113	03/17/81	Chamness	128	303.14	
AJ	4,294,254	10/13/81	Chamness	128	303.14	
AK	4,326,530	04/27/82	Fleury, Jr.	128	303.14	
AL	4,327,711	05/04/82	Tagaki	128	4	
AM	4,345,599	08/24/82	McCarrell	128	320	
AN	4,430,083	02/07/84	Ganz et al.	604	283	
AO	4,493,320	01/15/85	Treat	128	303.15	
AP	4,590,938	05/27/86	Segura et al.	128	328	
AQ	4,593,680	06/10/86	Kubokawa	128	4	
AR	4,619,260	10/28/86	Magill et al.	128	309	
AS	4,632,110	12/30/86	Sanagi	128	303 R	
AT	4,706,656	11/17/87	Kuboto	600	153	
AU	4,763,668	08/16/88	Macek et al.	128	751	
AV	4,785,825	11/22/88	Romaniuk et al.	128	751	
AW	4,790,831	12/13/88	Skribiski	604	282	
AX	4,840,176	06/20/89	Ohno	128	303.14	
AY	4,840,623	06/20/89	Quackenbush	604	280	
AZ	4,869,238	09/26/89	Opie et al.	128	6	
BA	4,872,456	10/10/89	Hasson	128	321	

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Examiner Initial	Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
BB <i>WP</i>	4,905,691	03/06/90	Rydell	606	47	
<i>84 P E</i>	4,945,920	08/07/90	Clossick	128	751	
BD	4,950,232	08/21/90	Ruzicka et al.	604	43	
<i>13 2001</i>	4,967,732	11/06/90	Inoue	128	4	
BE	4,973,321	11/27/90	Michelson	604	280	
<i>PTENT &amp; TRADEMARK OFFICE</i>	5,005,755	04/09/91	Takahashi et al.	228	126	
BG	5,026,371	06/25/91	Rydell et al.	606	47	
BH	5,059,199	10/22/91	Okada et al.	606	127	
BI	5,066,295	11/19/91	Kozak et al.	606	47	
BK	5,084,054	01/28/92	Bencini et al.	606	113	
BL	5,114,403	05/19/92	Clark et al.	604	95.04	
BM	5,125,909	06/30/92	Heimberger	604	264	
BN	5,129,913	07/14/92	Ruppert	606	184	
BO	5,147,316	09/15/92	Castillenti	604	164	
BP	5,147,373	09/15/92	Ferzli	606	144	
BQ	5,156,590	10/20/92	Vilmar	604	4	
BR	5,156,590	10/20/92	Vilmar	604	4	
BS	5,158,561	10/27/92	Rydell et al.	606	113	
BT	5,163,942	11/17/92	Rydell	606	113	
BU	5,176,677	01/05/93	Wuchinich	606	46	
BV	5,176,702	01/05/93	Bales et al.	606	208	
BW	5,179,935	01/19/93	Miyagi	128	4	
BX	5,183,470	02/02/93	Wetterman	604	281	
BY	5,201,740	04/13/93	Nakao et al.	606	113	
BZ	5,201,743	04/13/93	Haber et al.	606	147	
CA	5,244,619	09/14/93	Burnham	264	173	
CB	5,254,117	10/19/93	Rigby et al.	606	46	
CC	5,254,130	10/19/93	Poncet et al.	606	206	
CD	5,279,280	01/18/94	Bacich et al.	128	6	
CE	5,281,220	01/25/94	Blake, III	606	46	
CF	5,281,230	01/25/94	Heidmueller	606	127	
CG	5,290,294	03/01/94	Cox et al.	606	108	
CH	5,318,564	06/07/94	Eggers	606	47	
CI <i>WP</i>	5,334,169	08/02/94	Brown et al.	604	282	

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Examiner Initial	Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
CJ	MP 5,336,227	08/09/94	Nakao et al.	606	114	
CK	5,358,493	10/25/94	Schweich, Jr.	604	264	
	5,376,094	12/27/94	Kline	606	113	
CM	5,403,342	04/04/95	Tovey et al.	606	205	
CN	5,404,887	04/11/95	Prather	128	772	
	5,406,939	04/18/95	Bala	128	4	
CP	5,439,478	08/08/95	Palmer	606	205	
CQ	5,465,710	11/14/95	Miyagi	600	139	
CR	5,486,182	01/23/96	Nakao et al.	606	114	
CS	5,496,292	03/05/96	Burnham	604	282	
CT	5,501,692	03/26/96	Riza	606	148	
CU	5,542,948	08/06/96	Weaver et al.	606	113	
CV	5,584,843	12/17/96	Wulfman et al.	606	159	
CW	5,588,964	12/31/96	Imran et al.	604	95	
CX	5,591,202	01/07/97	Slater et al.	606	205	
CY	5,601,533	02/11/97	Hancke et al.	604	164	
CZ	5,647,846	07/15/97	Berg et al.	604	493	
DA	5,681,296	10/28/97	Ishida	604	282	
DB	5,718,360	02/17/98	Green et al.	227	179	
DC	5,741,271	04/21/98	Nakao et al.	606	114	
DD	5,746,747	05/05/98	McKeating	606	114	
DE	5,755,724	05/26/98	Yoon	606	114	
DF	5,759,187	06/02/98	Nakao et al.	606	114	
DG	5,762,631	06/09/98	Klein	604	171	
DH	5,766,217	06/16/98	Christy	606	148	
DI	5,792,116	08/11/98	Berg et al.	604	282	
DJ	5,800,444	09/01/98	Ridinger et al.	606	113	
DK	5,814,052	09/29/98	Nakao et al.	606	115	
DL	5,817,111	10/06/98	Riza	606	148	
DM	5,820,464	10/13/98	Parlato	464	58	
DN	5,827,177	10/27/98	Omeda et al.	600	121	
DO	5,827,272	10/27/98	Breining et al.	606	41	
DP	5,846,248	12/08/98	Chu et al.	606	114	
DQ	MP 5,860,975	01/19/99	Goble et al.	606	45	

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Examiner Initial	Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
DR	5,882,347	03/16/99	Mouris-Laen	604	280	
DT	5,885,508	03/23/99	Ishida	264	313	
DU	5,906,620	05/25/99	Nakao et al.	606	113	
	5,906,621	05/25/99	Secrest et al.	606	114	
	5,957,932	09/28/99	Bates et al.	606	127	
DW	5,961,511	10/05/99	Mortier et al.	604	527	
DX	5,984,904	11/16/99	Steen et al.	604	264	
DY	5,984,920	11/16/99	Steinbach	606	47	
DZ	5,989,247	11/23/99	Chambers	606	41	
EA	5,993,474	11/30/99	Ouchi	606	206	
EB	6,001,096	12/14/99	Bissinger et al.	606	50	
EC	6,010,512	01/04/00	Chu et al.	606	113	
ED	6,015,381	01/18/00	Ouchi	600	104	
EE	6,015,415	01/18/00	Avellanet	606	113	
EF	6,024,708	02/15/00	Bales et al.	600	564	
EG	6,027,460	02/22/00	Shturman	600	585	
EH	6,050,995	04/18/00	Durgin	606	47	
EI	6,068,603	05/30/00	Suzuki	600	565	
EJ	6,071,233	06/06/00	Ishikawa et al.	600	104	
EK	6,074,408	06/13/00	Freeman	606	205	
EL	6,090,073	07/18/00	Gill	604	164	
EM	6,090,129	07/18/00	Ouchi	606	206	
EN	6,093,195	07/25/00	Ouchi	606	113	
EO	6,235,026	05/22/01	Smith	606	46	
EP	09/716,710		Smith			11/20/2000
EQ	09/716,776		Smith et al.			11/20/2000

# FOREIGN PATENT DOCUMENTS

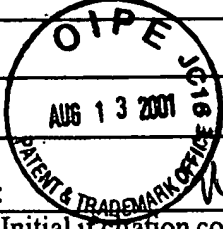
	Document No.	Date	Country	Class	Sub Class	Translation Yes No
ER	DE 36 16 193 A1	11/20/86	Germany			See U.S. Patent 4,706,656
ES	WO 92/22254	12/23/92	PCT			
ET	WO 00/42926	07/27/00	PCT			
EU	WO 00/53107	09/14/00	PCT			

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## OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

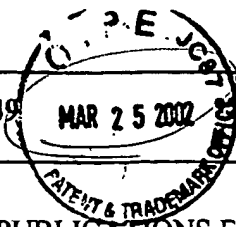
EV	WP	Product Brochure, "Trio 14, Re-engineering Over-the-Wire Balloon Technology," 1994, 4 pages.



EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



FORM PTO-1449  LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	Atty. Docket No.: 1001.1451103	Serial No.: 09/854,812
	Applicant: Kevin W. Smith	
	Filing Date	Group Art:
	May 14, 2001	Unknown

U.S. PATENT DOCUMENTS

Examiner Initial	Document No.	Date	Name	Filing Date If Appropriate

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FOREIGN PATENT DOCUMENTS

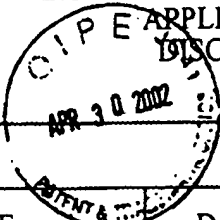
	Document No.	Date	Country	Translation Yes No
AA	DE 28 29 159 A1	01/17/1980	Germany	Yes
AB	DE 199 53 359 A1	05/11/2000	Germany	Yes
AC	WO 01/10321	02/15/2001	WIPO	

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)


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<b>FORM PTO-1449</b> <b>COPY OF PAPERS ORIGINALLY FILED</b>  <b>LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT</b>	Atty. Docket No.: 1001.1451103	Serial No.: 09/854,812
	Applicant: Kevin W. Smith	
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	May 14, 2001	Unknown



### U.S. PATENT DOCUMENTS

Examiner Initial	Document No.	Date	Name	Filing Date If Appropriate
AA <i>NP</i>	4,503,855	03/12/1985	Maslanka	
AB <i>NP</i>	5,769,841	06/23/1998	Odell et al.	
AC <i>NP</i>	5,971,994	10/26/1999	Fritzsche	
AD <i>NP</i>	6,299,612	10/09/2001	Ouchi	

### FOREIGN PATENT DOCUMENTS

Document No.	Date	Country	Translation Yes No

### OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)


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